COMMISSIONER FOR PATENTS Washington, D.C. 20231

DOCKET NO. AUS920010788US1

DATE: January 8, 2007

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Certificate of Mailing or Transmission

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 or electronically transmitted to the U.S. Patent and Trademark Office on the date shown below.

/Leslie A. Van Leeuwen Reg. No. 42,196/ Leslie A. Van Leeuwen Reg. No. 42,196 Date

Sir:

Transmitted herewith for filing is the Patent Application of:

Inventors: Calderaro, et al.

For: System and Method for Resource Reduction Financial Impact Analysis

Enclosed are:

- X Information Disclosure Statement;
- X Modified form 1449 listing 3 references;
- X copies of any non-U.S. Patent references listed on form 1449.

37 CFR § 1.97 is satisfied by the following:

- X This IDS is being filed pursuant to CFR § 1.97(b), therefore no fee as set forth in § 1.17(p) is believed to be due. In addition, if an Office Action has been mailed, then this IDS is being filed pursuant to CFR § 1.97(c) as, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the information disclosure statement.
- X The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 09-0447.
 - X Any additional patent application processing fees under 37 CFR Sect. 1.17.

Respectfully submitted.

By /Leslie A. Van Leeuwen Reg. No. 42,196/ Leslie A. Van Leeuwen, Reg. No. 42,196 Attorney for Applicant

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SERIAL NO. 10/042,439

DOCKET NO. AUS920010788US1 DATE: January 8, 2007

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Group Art Unit: 2164 In re application of:

Calderaro, et. al. Ş

Serial No.: 10/042,439 Filed: January 8, 2002

§ Title: System and Method for

§ Intellectual Property Law Dept. Resource Reduction Financial 8 11400 Burnet Road

Impact Analysis 8 Austin, Texas 78758

INFORMATION DISCLOSURE STATEMENT

The Commissioner of Patents Washington, D.C. 20231

Sir:

Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR § 1.56.

While this Information Disclosure Statement may be "material" pursuant to 37 CFR § 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such. In accordance with 37 CFR § 1.97(g) the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR § 1.56 (a) exists.

The attached form, PTO-1449, provides a listing of patents, publications, or other information as required by 37 CFR § 1.98 (a)(1). A copy of each listed item that is not a U.S. Patent document, is supplied herewith.

Respectfully submitted,

By /Leslie A. Van Leeuwen Reg. No. 42,196/ Leslie A. Van Leeuwen, Reg. No. 42,196 Attorney for Applicant Telephone: (512) 301-6738

Facsimile: (512) 301-6742

In Place of FORM PTO-1449 (Modified)

Examiner

Initial

Examiner:

Serial No. 10/042,439 Applicant: Calderaro Filing Date: 1/8/2002

LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

Document

Manahar

Group: 2164 Atty. Docket No. AUS920010788US1

Translation

U. S. PATENT DOCUMENTS

| Examiner Initial | Document Number | Date | Name | Classification Class / Subclass | Filing Date if Appropriate |
|---------------------|--------------------|------|------|------------------------------------|-------------------------------|
| | AA | | | | |
| | AB | | | | |
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FOREIGN PATENT DOCUMENTS

Country

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|----------------------------|----|--|---------|---------|----------------|--------|--|--|--|--|
| | AM | WO 9526535 A1 | 10-1995 | PCT | G06F 17/60 | | | | | |
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| Examiner <u>Initial</u> | | OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.) | | | | | | | | |
| | AQ | DeWitt, "The Structural Consequences of Downsizing," 2/1993, Organizational Science, Vol. 4, No. 1, pp. 30-40. | | | | | | | | |
| | AR | Davidson, "Early Retirement Programs and Firm Performance," 12/1996, Academy of Management Journal, vol. 39, No. 4, pp. 970-984. | | | | | | | | |
| | AS | | | | | | | | | |
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| | AU | | | | | | | | | |

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Date Considered: